

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 9917-002
INTERNATIONAL APPLICATION NO. PCT/KR2003/001755	INTERNATIONAL FILING DATE 29 August 2003	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/526322</b>
TITLE OF INVENTION DYNAMIC MICRO SPEAKER WITH DUAL SUSPENSION		PRIORITY DATE CLAIMED 30 August 2002
APPLICANT(S) FOR DO/EO/US Ok-Jung YOO		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto. **Filed in English**
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Transmittal Letter, PCT Request and WIPO Publication**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/526322</div>		INTERNATIONAL APPLICATION NO. PCT/KR2003/001755		ATTORNEY'S DOCKET NUMBER 9917-002																																	
21. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1080.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"></td> </tr> <tr> <td style="text-align: right;">\$ 1080.00</td> <td></td> </tr> <tr> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0</td> <td></td> </tr> <tr> <td style="text-align: right;">\$ 0</td> <td></td> </tr> <tr> <td style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td style="text-align: right;">\$ 1080.00</td> </tr> <tr> <td style="text-align: right;"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td> <td style="text-align: right;">\$ 540.00</td> </tr> <tr> <td style="text-align: right;"><b>SUBTOTAL =</b></td> <td style="text-align: right;">\$ 540.00</td> </tr> <tr> <td style="text-align: right;">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td style="text-align: right;">\$ 0</td> </tr> <tr> <td style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td style="text-align: right;">\$</td> </tr> <tr> <td style="text-align: right;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td style="text-align: right;">\$ 0</td> </tr> <tr> <td style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td> <td style="text-align: right;">\$ 540.00</td> </tr> <tr> <td></td> <td style="text-align: right;">Amount to be refunded: \$</td> </tr> <tr> <td></td> <td style="text-align: right;">Amount to be charged: \$</td> </tr> </table>		CALCULATIONS	PTO USE ONLY			\$ 1080.00		\$		\$ 0		\$ 0		\$ 0		<b>TOTAL OF ABOVE CALCULATIONS =</b>	\$ 1080.00	<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	\$ 540.00	<b>SUBTOTAL =</b>	\$ 540.00	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$ 0	<b>TOTAL NATIONAL FEE =</b>	\$	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$ 0	<b>TOTAL FEES ENCLOSED =</b>	\$ 540.00		Amount to be refunded: \$		Amount to be charged: \$
CALCULATIONS	PTO USE ONLY																																				
\$ 1080.00																																					
\$																																					
\$ 0																																					
\$ 0																																					
\$ 0																																					
<b>TOTAL OF ABOVE CALCULATIONS =</b>	\$ 1080.00																																				
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	\$ 540.00																																				
<b>SUBTOTAL =</b>	\$ 540.00																																				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$ 0																																				
<b>TOTAL NATIONAL FEE =</b>	\$																																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	\$ 0																																				
<b>TOTAL FEES ENCLOSED =</b>	\$ 540.00																																				
	Amount to be refunded: \$																																				
	Amount to be charged: \$																																				
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-1703</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  <b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>  SEND ALL CORRESPONDENCE TO:  Hosoon Lee Marger Johnson & McCollom P.C. 1030 SW Morrison St. Portland, Oregon 97205 USA (503) 222-3613																																					
				<div style="text-align: center;"> </div> <div style="text-align: center;">             SIGNATURE              Hosoon Lee              NAME Limited Recognition Under              37 CFR 10.9 (b)              REGISTRATION NUMBER           </div>																																	

PATENT APPLICATION  
 Attorney's Do. No. 9917-002  
 Client Ref. No. P2004/30039/US

EXPRESS MAIL

MAILING LABEL NO. EV 321249525 US

DATE OF DEPOSIT: February 28, 2005

10/526322

I HEREBY CERTIFY THAT THIS PAPER AND ENCLOSURES AND/OR FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

NATASHA FRENCH  
 (SENDER'S PRINTED NAME)

  
 (SIGNATURE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
 U.S. RECEIVING OFFICE (RO/US)

In Re: International Application No. PCT/KR2003/001755  
 Filed: August 29, 2003  
 Applicant: Ok-Jung YOO  
 Title of Invention: DYNAMIC MICRO SPEAKER WITH DUAL SUSPENSION  
 Agent's File Ref.: Docket No. 9917-002

Mail Stop PCT  
 Commissioner for Patents and Trademarks  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**Transmittal Letter**

Enclosed for filing regarding the above-referenced International Application are the following:

- ☒ Transmittal letter to U.S. Designated Office (DO/EO/US) concerning filing under 35 U.S.C. 371;
- ☒ Copy of International Search Report
- ☒ Specification (pages 1-11); claims (pages 12-13); abstract (page 14)
- ☒ Preliminary Amendment
- ☒ FIVE sheet(s) of FORMAL drawings FIGS. (1a-1b, 2a-2b, 3a-3c, 4, 5a-5b))
- ☒ Combined Declaration and Power of Attorney (UNSIGNED)
- ☒ Applicant claims small entity status (see 37 CFR 1.27)
- ☒ Copy of Published Application WO 2004/021739 A1
- ☒ Copy of Notice of Limited Recognition Under 37 CFR § 10.9(b)
- ☒ Copy of PCT Request
- ☒ Return Postcard
- ☒ PTO Form 2038 authorizing credit card payment for the above-listed fees is enclosed.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

  
 Hosoon Lee  
 Limited Recognition Under 37 CFR § 10.9(b)

MARGER JOHNSON & McCOLLOM, P.C.  
 1030 SW Morrison Street  
 Portland, OR 97205  
 503-222-3613